



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/672,328	09/29/2000	James A. Belmont	CBK99104 (3600-228-01)

Michelle B Lando Esq  
Law Department  
Cabot Corporation  
157 Concord Road  
Billerica, MA 01821-7001

## FORMALITIES LETTER



\*OC000000005560257\*



Date Mailed: 11/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/24/2001 MANMED1 00000037 030060 09672328

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SECRET



Atty. Docket No. 99104CON

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James A. Belmont  
Serial No: 09/672,328  
Filed: September 29, 2000  
For: **MODIFIED PIGMENTS HAVING STERIC AND AMPHIPHILIC GROUPS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

2  
1

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

***COMPLETION OF FILING REQUIREMENTS***

I. In response to the "Notice to File Missing Parts of Nonprovisional Application," mailed November 16, 2000, enclosed please find the following documents for the above-identified application.

- ☒ A copy of the "Notice to File Missing Parts of Nonprovisional Application -- Filing Date Granted"
- ☒ Original Combined Declaration and Power of Attorney Document.

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the: Box Missing Parts, Commissioner for Patents, Washington, D. C. 20231 on January 16, 2001.

Lyn M. Covenor  
Name of Person Mailing Paper

*Lyn M. Covenor*  
Signature of Person Mailing Paper

## ***LARGE ENTITY STATUS***

- II. Applicant is other than an small entity.

## ***COMPLETION FEES***

### III. Completion Fees

1. Filing Fee

- ☐ Original Patent Application \$  
(37 CFR 1.16(a) --\$710.00)

2. Fees for claims

- ☐ each independent claim in excess of 3 \$  
(37 CFR 1.16(b) -- \$80.00)

- ☐ each claim in excess of 20 \$  
(37 CFR 1.16(c) -- \$18.00)

- ☐ multiple dependent claim(s) \$  
(37 CFR 1.16(d) -- \$260.00)

3. Surcharge Fees

- ☐ late payment of filing fee  
and/or

- ☒ late filing of original declaration or oath \$ 130.00  
(37 CFR 1.16(e) -- \$130.00)

## ***EXTENSION OF TERM***

### IV. Extension of Term

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- ☐ Applicant petitions for an extension of time under 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than small entity
<input type="checkbox"/> One month	\$ 110.00
<input type="checkbox"/> Two months	\$ 390.00
<input type="checkbox"/> Three months	\$ 890.00
<input type="checkbox"/> Four months	\$ 1390.00
Extension Fee Due	\$

- ☐ *If an additional extension of time is required please consider this a petition therefor.*

- ☐ An extension for      month(s) has already been secured and the fee paid therefor of \$      is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$

or

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

***TOTAL FEE DUE***

V. The total fee due is:

<input checked="" type="checkbox"/> Completion Fee(s)	\$ 130.00
<input type="checkbox"/> Extension Fee (if any)	\$
<b>Total Fee Due</b>	<b>\$ 130.00</b>

***PAYMENT OF FEES***

- VI. ☐ Enclosed is a check in the amount of \$
- ☒ Please charge **Deposit Account Number 03-0060** of Cabot Corporation the sum of **\$ 130.00**

***AUTHORIZATION TO CHARGE ADDITIONAL FEES***

- VII. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper under 37 CFR 1.16, or processing fees under 37 CFR 1.17 associated with this communication and during the entire pendency of this application to **Deposit Account Number 03-0060**. Please credit any over-payments to **Deposit Account Number 03-0060**.

**This transmittal is being filed in duplicate.**

Respectfully submitted,



Michelle B. Lando  
Reg. No. 33,941  
Cabot Corporation  
Law Department  
157 Concord Road  
Billerica, MA 01821

Tel. No. (978) 670-7030

99104con.doc